

## **Reducing falls among older people in general practice: the ProAct65+ exercise intervention trial**

Gawler, S.; Skelton, D.A.; Dinan-Young, S.; Masud, T.; Morris, R.W.; Griffin, M.; Kendrick, D.; Ilife, S.

*Published in:*  
Archives of Gerontology and Geriatrics

*DOI:*  
[10.1016/j.archger.2016.06.019](https://doi.org/10.1016/j.archger.2016.06.019)

*Publication date:*  
2016

*Document Version*  
Author accepted manuscript

[Link to publication in ResearchOnline](#)

*Citation for published version (Harvard):*  
Gawler, S, Skelton, DA, Dinan-Young, S, Masud, T, Morris, RW, Griffin, M, Kendrick, D & Ilife, S 2016, 'Reducing falls among older people in general practice: the ProAct65+ exercise intervention trial', *Archives of Gerontology and Geriatrics*, vol. 67, pp. 46–54. <https://doi.org/10.1016/j.archger.2016.06.019>

### **General rights**

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

### **Take down policy**

If you believe that this document breaches copyright please view our takedown policy at <https://edshare.gcu.ac.uk/id/eprint/5179> for details of how to contact us.

# Reducing falls among older people in general practice: the ProAct65+ exercise intervention trial

Gawler S, Skelton DA, Dinan-Young S, Masud T, Morris RW, Griffin M, Kendrick D, Iliffe S for the ProAct65+ team

Sheena Gawler, Research Dept. of Primary Care & Population Health, UCL, Royal Free campus, Rowland Hill St., London NW3 2PF, UK

Professor Dawn A Skelton, School of Health & Life Sciences, Glasgow Caledonian University, Cowcaddens Road, Glasgow, G4 0BA, UK

Dr Susie Dinan-Young, Research Dept. of Primary Care & Population Health, UCL, Royal Free campus, Rowland Hill St., London NW3 2PF, UK

Professor Tahir Masud, Nottingham University Hospitals NHS Trust, Hucknall Road, Nottingham, NG5 1PB, UK,

Professor Richard Morris, School of Social & Community Medicine, University of Bristol, Canynge Hall, 39 Whatley Rd, Bristol BS8 2PS and Research Dept. of Primary Care & Population Health, UCL, Royal Free campus, Rowland Hill St., London NW3 2PF, UK

Mark Griffin, Research Dept. of Primary Care & Population Health, UCL, Royal Free campus, Rowland Hill St., London NW3 2PF, UK

Professor Denise Kendrick, School of Medicine, Division of Primary Care, Tower Building, University Park, University of Nottingham, Nottingham, NG7 2RD, UK

Professor Steve Iliffe, Research Dept. of Primary Care & Population Health, UCL, Royal Free campus, Rowland Hill St., London NW3 2PF, UK

Corresponding author: Sheena Gawler, Dept of Primary Care & Population Health, UCL. s.-gawler@ucl.ac.uk

## Abstract

### Background:

Falls are common in the older UK population and associated costs to the NHS are high. Systematic reviews suggest that home exercise and group-based exercise interventions, which focus on progressively challenging balance and increasing strength, can reduce up to 42% of falls in those with a history of falls. The evidence is less clear for those older adults who are currently at low risk of falls.

### Aim:

ProAct65+, a large, cluster-randomised, controlled trial, investigated the effectiveness of a home exercise programme (Otago Exercise Programme (OEP)) and a group-based exercise programme (Falls Management Exercise (FaME)) compared to usual care (UC) at increasing moderate to vigorous physical activity (MVPA). This paper examines the trial's secondary outcomes; the effectiveness of the interventions at reducing falls and falls-related injuries.

**Setting & participants:**

1256 community-dwelling older adults (aged 65+) were recruited through GP practices in two sites (London and Nottingham). Frequent fallers ( $\geq 3$  falls in last year) and those with unstable medical conditions were excluded, as were those already reaching the UK Government recommended levels of physical activity (PA) for health.

**Methods:**

Baseline assessment (including assessment of health, function and previous falls) occurred before randomisation; the intervention period lasted 24 weeks and there was an immediate post-intervention assessment; participants were followed up every six months for 24 months. Falls data were analysed using negative binomial modelling.

**Outcome measures:**

Falls data were collected prospectively during the intervention period by 4-weekly diaries (6 in total). Falls recall was recorded at the 3-monthly follow-ups for a total of 24 months. Balance was measured at baseline and at the end of the intervention period using the Timed Up & Go and Functional Reach tests. Balance confidence (CONFbal), falls risk (FRAT) and falls self-efficacy (FES-I) were measured by questionnaire at baseline and at all subsequent assessment points.

**Results:**

294 participants (24%) reported one or two falls in the previous year. There was no increase in falls in either exercise group compared to UC during the intervention period (resulting from increased exposure to risk). The FaME arm experienced a significant reduction in injurious falls compared to UC (incidence rate ratio (IRR) 0.55, 95% CI 0.31, 0.96;  $p=0.04$ ) and this continued during the 12 months after the end of the intervention (IRR 0.73, 95% CI 0.54, 0.99;  $p=0.05$ ). There was also a significant reduction in the incidence of all falls (injurious and non-injurious) in the FaME arm compared with UC (IRR 0.74, 95% CI 0.55, 0.99;  $p=0.04$ ) in the 12 month period following the cessation of the intervention. There was a non-significant reduction in the incidence of all falls in the OEP arm compared with UC (IRR 0.76, 95% CI 0.53, 1.09;  $p=0.14$ ) in the 12 months following the cessation of the intervention. The effects on falls did not persist at the 24 months assessment in either exercise arm. However, when those in the FaME group who continued to achieve 150 minutes of MVPA per week into the second post-intervention year were compared to those in the FaME group who did not maintain their physical activity, there was a significant reduction in falls incidence (IRR=0.49, 95% CI 0.30, 0.79;  $p=0.004$ ). CONFbal was significantly improved at 12 months post intervention in both in-

intervention arms compared with UC. There were no significant changes in any of the functional balance measures, FES-I or FRAT, between baseline and the end of the intervention period.

**Conclusion:**

Community-dwelling older adults who joined an exercise intervention (FaME) aimed at increasing MVPA did not fall more during the intervention period, fell less and had fewer injurious falls in the 12 months after cessation of the intervention. However, 24 months after cessation of exercise, the beneficial effects of FaME on falls reduction ceased, except in those who maintained higher levels of MVPA. OEP exercise appears less effective at reducing falls in this functionally more able population of older adults.

## Background

Older people are the most sedentary segment of the population, with 57% of men and 52% of women aged 65-74 years meeting the UK's physical activity recommendations, dropping to 43% of men and 21% of women aged 75-84 years (HSE 2012). Falls risk is increased if a person is inactive and has poor strength and balance (Todd and Skelton 2004). The incidence of hip fractures in the UK is 86,000 per year, and 95% of these are the result of a fall. The cost to the National Health Service (NHS) is £1.7 billion a year (NICE 2013).

There is already robust evidence to support the use of exercise in falls prevention and rehabilitation following falls, with as many as 42% of falls being prevented by a 'well-designed' exercise intervention; balance retraining and lower limb strengthening exercises with a total dose of at least 50 hour (Sherrington 2008, Sherrington 2011). The Cochrane Systematic Review of falls interventions in community dwelling older people states that both group and home based exercise reduce falls rate (Rate Ratios 0.71 and 0.68, respectively) (Gillespie 2012). Most studies have recruited those at high risk of future falls. It is not clear whether community-based interventions, apart from Tai Chi, are effective with unselected populations of older people. In fact, there is some concern that increasing physical activity, particularly brisk walking, may increase exposure to risk of falls (Sherrington 2011). Two falls reduction programmes (Falls Management Exercise and the Otago Exercise Programme) which have previously been successful in higher risk populations, were evaluated in this study, ProAct65+, in a primary falls prevention context. In the UK, 54% of falls services use FaME exercises and 41% the OEP exercises in their provision (RCP 2012) and both programmes are recommended in the Department of Health Prevention Package (DoH 2009).

The original OEP was a 1 year home-based falls prevention exercise programme that averaged a 35% reduction in falls in the trials conducted in community-dwelling people aged 75+ in New Zealand (Campbell 1997, 1999, Robertson 2001a, 2001b). The OEP was most cost effective in those aged over 80 (Robertson 2001b). The original FaME intervention is a 9 month group-based programme led by a postural stability exercise instructor. The original trial (Skelton 2005) recruited frequently falling community-dwelling women

aged 65+ and reported a 54% reduction in falls in the exercise group compared to the control group.

The ProAct65+ Study, a multi-centre, cluster-randomised controlled trial, compared shorter (24 week) versions of FaME and OEP with usual care (UC) in community-dwelling over 65s recruited through general practice and who were inactive (not achieving the amount of physical activity recommended for health (DoH 2011)). The primary outcome, minutes of moderate to vigorous intensity physical activity (MVPA) per week at 12 months post intervention, showed a significant increase in the FaME group compared to UC (Iliffe 2014), which is clinically important considering the poor exercise habits of the UK's older population (DoH 2011) and the strong association of physical inactivity with sarcopenia and frailty outcomes (BGS 2014).

The general older adult population recruited by ProAct65+, although not selected according to falls status and therefore at lower risk of falls than those with a falls history, was expected to contain a proportion of older people who had already fallen and those who displayed other risk factors for future falls, such as poor balance and poor leg strength. Our hypothesis therefore was that shorter FaME and OEP interventions would reduce falls in older people aged 65+ at lower risk of falls as the intensity of the programme could be greater, and the progression of exercises could be faster, in those whose balance was less compromised. With the prevalence of use of FaME and OEP exercises already in the UK (RCP 2012) and nearly 5000 trained FaME instructors and OEP leaders in the UK, this study aimed to examine whether the use of existing programmes to reduce falls, in those considered currently at lower risk of falls but facing a decline in function due to inactivity, was effective.

## **Methods**

### **Participants**

Participants were aged 65 years or over, registered with participating general practices, living independently (not in residential or nursing homes) and physically able to attend group exercise. Frequent fallers ( $\geq 3$  falls in the past year) were excluded, as were those already achieving sufficient exercise to benefit health ( $\geq 150$  minutes of MVPA self-reported). Other exclusions included uncontrolled medical conditions and significant cog-

nitive impairment. A random sample of eligible patients was invited to participate via a letter from their GP. Further information on the study design, recruitment and outcome measures can be found elsewhere (Iliffe 2010, Iliffe 2014).

### **Randomisation, Blinding**

Cluster-randomisation to study arm was by practice using minimisation. The variables used in the minimisation process were trial site, practice size and practice deprivation. The practices, their patients and the researchers were all blinded to allocation until all patients at a practice were recruited.

### **Interventions**

There were 3 arms to the trial: home based exercise programme (OEP), community-centre based group exercise programme (FaME) and usual care (UC). The exercise programmes were modelled on their previous trials; FaME participants attended a once-weekly, 1 hour, supervised session which was supplemented with twice-weekly, 30-minute sessions of a home exercise programme and OEP participants were required to perform their 30-minute set of home exercises thrice-weekly, except a shorter duration (24 weeks) was used for both interventions. In the OEP volunteer Peer Mentors (PMs) were recruited to provide support (home visits and telephone calls) to OEP participants after baseline assessment of ability and starting exercise level, by the trial exercise specialist researcher (SG). Full compliance in the exercise programmes would total 48 hours and 36 hours in the FaME and OEP groups, respectively.

### **Outcome Measures for Falls and Falls Injury**

Outcomes for physical activity have been reported elsewhere (Iliffe 2014). The number of fallers and falls in the year preceding the study were ascertained at baseline interview using a single question; “How many falls have you had in the last year?”. Falls risk was measured using the Falls Risk Assessment Tool (FRAT), validated for use by GPs (Nandy 2004), at baseline and immediately post intervention. During the 24 week intervention period patients were asked to complete a daily falls diary and to return it in 4-weekly blocks. Those who failed to return their diaries received a reminder telephone call. Any inconclusive (poorly reported) falls and falls resulting in more serious injuries or hospitalisation were followed up by telephone contact. At the follow up interview (immediately post intervention) patients were again verbally asked about their falls to

act as a method for potentially infilling any missing falls diary data. During the two year follow-up period, participants were asked every three months to recall any falls over the preceding 3 months (rather than daily falls recording). This was a protocol amendment following high drop-out rates due to reported 'research burden' (the number of questionnaires and diaries to complete) (Stevens 2013).

### **Functional assessments**

Timed Up and Go (TUG) and Functional Reach tests were conducted at baseline and at the end of the interventions as measures of balance and falls risk. Baseline functional assessment scores were compared to published normative data.

TUG is a simple, quick assessment for identifying those at risk of falls (Podsiadlo 1991) and is recommended by the American Geriatric Society/British Geriatric Society (AGS/BGS 2010). Studies focusing on TUG's use as a tool to identify fallers have reported cut-off points from 10 to 15 seconds (Rose 2002, Shumway-Cook 2000, Whitney 2005). A cut-off point of 13.5 seconds was selected, following Shumway-Cook 2000, who studied a similar population.

Functional Reach (FR) is a reliable and reproducible measure of balance (Duncan 1990) and in community dwellers aged 70+, those with a reach of 6 inches (15.24cm) or less had a significantly increased risk of having  $\geq 2$  falls in the next 6 months (Duncan 1992). This study used 15cm as a cut-off point for identifying those with a risk of falls at baseline.

As measures of fear of falling and confidence in maintaining balance during everyday tasks, the Short Falls Efficacy Scale-International (FES-I) and Confidence in Maintaining Balance (CONFbal) were conducted at baseline, at the end of the interventions and at all subsequent follow-up points. CONFbal contains 10 questions regarding everyday activities (such as getting up from a chair and walking) each with three possible responses; confident, slightly confident and not confident, which are awarded a score of 1, 2 and 3, respectively (Simpson 2009). A higher total score indicates poorer confidence, with a maximum possible total score of 30. The short FES-I contains 7 domains (Kempen 2008) each with a possible score of 1 through 4 (1=not at all concerned, 4=very concerned) (Yardley 2005). A higher total score indicates poorer self-efficacy, with a maximum pos-



sible total score of 28. The published cut off point of 11, which differentiates between low and high concern about falls for a range of activities of daily living, was used to dichotomise baseline FES-I scores (Delbaere 2010).

## **Data Analysis**

Falls data were entered into SPSS (version 21) and analysed using negative binomial modelling on an intention to treat basis accounting for clustering by practice (Robertson 2005). Three comparisons of falls rates were made between each intervention group and usual care: 1) during the intervention period; 2) for each post-intervention year; and 3) for the combined intervention period and first post-intervention year; due to the possibility that the intervention itself might have induced falls in the short term, until muscle strengthening and balance retraining had occurred. Missing falls diary data was accounted for by calculating a time at risk (of falls) for each patient based on the number of diaries they completed e.g. if all 6 diaries were completed and indicated 2 falls, 2 falls in 24 weeks (at risk) was entered, whereas if only 2 diaries were completed and indicated 2 falls, 2 falls in 8 weeks (at risk) was entered. A sensitivity analysis was carried out to see if diary data were missing at random across study arms, and to investigate if any patient characteristics (gender, age, falls rate, number of co-morbidities) were associated with diary returns rate.

Two post-hoc analyses were carried out. The first compared falls incidence rates between only those in the OEP arm who adhered to at least 75% of the exercise programme with the control group. This cut-off point was selected as the original FaME trial (Skelton 2005) reported the proportion of subjects who attended more than 75% of the exercises classes, so, for ease of comparison, we adopted 75% as a pragmatic level of 'compliance' in ProAct65+ interventions. The second post-hoc analysis was a within-group analysis of second year post-intervention data comparing only those in the FaME group who continued to achieve 150 minutes of MVPA per week compared to those in the FaME group who did not maintain this level of physical activity. The rationale for the selection of this outcome related to the purpose of this final post-hoc analysis; to investigate why the effect of the intervention on falls was lost in the second post-intervention year.

Because those who did not return diaries, or who withdrew, may have been at greater risk of falling, we carried out a sensitivity analysis where we assumed that patients with

missing information on falls in fact sustained one fall in the intervention period, and one fall in each of the first and second years post intervention; this was approximately double the expected rate based on those who did return information on falls in those periods.

### **Protocol violations**

Participants who reported more than 2 falls in the year preceding the study (but who had not been excluded by the researcher at baseline) were deemed to be protocol violators and were removed from the falls analysis.

## **Results**

### **Recruitment**

A total of 1256 patients were recruited from 43 GP practices in London and Nottingham and 387, 411 and 458 were randomised into the FaME, OEP and UC arms, respectively. The flow of participants throughout the trial has already been published (Iliffe 2014) and can be accessed from <http://www.ncbi.nlm.nih.gov/pubmed/25098959>, page 31. The required number of Postural Stability Instructors (PSIs) and London Peer Mentors (PMs) were recruited, trained and deployed, but the recruitment of PMs in Nottingham was less successful, resulting in only 33% of patients in the OEP group receiving PM support.

### **Protocol violations**

Eighteen participants' data were excluded from the falls analysis as they reported more than 2 falls in the year preceding the study. A participant who reported 76 falls during the intervention period, despite not reporting any falls in the year prior to the study, was also excluded from this analysis following telephone follow up with him that revealed he had withheld information regarding his previous falls. We checked to see if any other patients had reported dramatically different numbers of falls during the study compared with prior to the study, but there were no other such cases. Two further participants withdrew from the study and requested removal of their data from the analyses, leaving a total of 1235 patients.

### **Baseline patient demographic characteristics**

The patient age range was 65 to 94 years (average age 73) with 84% of participants in the 65-79 age group. 779 participants (62%) were female and 176 (14%) were non-white. The mean number of co-morbidities and medications was 1.7 and 3.7, respectively. Further detail regarding the recruited population has already been published (Iliffe 2014) and can be accessed from <http://www.ncbi.nlm.nih.gov/pubmed/25098959>, page 26.

### **Baseline patient falls characteristics**

A total of 294 participants (24%) reported 1 or 2 falls in the previous year (21% of men and 27% of women). At baseline, there were similar proportions of fallers in all trial arms; 82 (22%) in FaME, 94 (23%) in OEP and 118 (26%) in UC. The average number of falls per person reported in the year prior to the study in each group was 0.27, 0.29 and 0.31 in FaME, OEP and UC, respectively. FRAT identified 76 (6%) participants as being at high risk of a future fall, 182 (16%) took longer than 13.5 seconds to complete the TUG test, 97 (8%) scored less than 15cm on the Functional Reach assessment, and 209 (19%) scored  $\geq 11$  for falls self-efficacy. Table 1 shows participants' baseline falls characteristics by group. When compared with normative data in older, healthy populations, baseline functional assessments revealed functional levels of less than published averages for all assessments despite the significantly higher percentage meeting the UK guidelines than the general UK population. Functional assessment data compared with normative scores can be viewed in the ProAct65+ report (Iliffe 2014).

### **Falls Diary Data**

Despite telephone call reminders from the researcher to return diaries, diary return was poor, resulting in missing falls data. Overall, 62% of intervention diaries were returned. 595 (48%) patients returned all 6 diaries, 345 (28%) did not return any. 35%, 37% and 41% of diaries were missing in the OEP, FaME and UC groups, respectively. We have published elsewhere that there was no association between returning diaries and gender nor age, but those at risk of falls were less likely to return diaries than non-fallers (Perry 2012). Those patients who returned all 6 diaries had a falls rate of 0.67 falls/person year, but those who returned between one and three diaries had a rate of 1.59 falls/person year.

**Table 1, Participants' baseline falls characteristics by group**

Outcome measure		FaME n=377	OEP n=404	Usual Care n=454
Reported fall(s) in the 12 months before the intervention	n(%)	82 (22)	94 (23)	118 (26)
FRAT	score $\geq 3$ , n(%) Mean (SD)	19 (5) 0.89 (0.90)	20 (5) 0.98 (0.90)	37 (8) 1.03 (0.96)
Timed Up and Go	>13.5 seconds, n(%) Log-TUG Mean (SD)	56 (17) 2.33 (0.34)	53 (14) 2.33 (0.34)	73 (17) 2.35 (0.32)
Functional Reach	<15 cm, n(%) Mean (SD)	25 (7) 25.60 (6.98)	30 (7) 25.57 (7.43)	42 (10) 24.68 (7.43)
CONFbal	Mean (SD)	12.63 (3.98)	12.48 (3.76)	12.55 (3.93)
Short FES-I	score $\geq 11$ , n(%) Mean (SD)	66 (20) 8.99 (3.56)	61 (17) 8.89 (3.49)	82 (21) 9.36 (4.08)

### Adherence & Compliance

150 participants (40%) in the FaME group attended 75% (or more) of classes. In the OEP group, 149 (37%) subjects reported that they achieved 75% or more of the home exercise prescription (90 minutes per week). Progression of the OEP strength and balance exercises was limited. Only 20% of those in the OEP group received heavier ankle weights or progressed on to unsupported balance exercises.

### Attrition

A total of 643 (52%) participants were lost to follow up of falls data by the end of the second post-intervention year (Table 3). Attrition was considerable, but was similar across treatment arms; 54%, 50% and 53% in the FaME, OEP and UC group, respectively. Participants' characteristics by loss to follow up status are shown in Table 2. Losses to follow up were more likely to occur in the first 18 months of the trial. Those lost in this period were slightly older, less functional able, more likely to have fallen in the 12 months prior to the start of the trial and more concerned about falling.

**Table 2,** Distribution of variables at baseline according to retention status at 12 and 24 months. Mean (SD) for continuous variables, n(%) for categorical variables

	Retained to 12 months post-intervention (n=709)	Lost to follow up at 12 months post-intervention (n=526)	Retained at 12 months but lost by 24 months (n=66)
Mean Age	72.43 (5.78)	73.71 (6.28)	73.32 (5.84)
Male gender	266 (39)	199 (38)	24 (36)
Mean number of medications prescribed	3.67 (3.06)	4.50 (3.34)	3.83 (3.61)
Mean Functional Reach; cm	26.32 (7.10)	23.94 (7.34)	26.25 (6.44)
Mean Timed Up and Go; seconds	10.42 (5.64)	11.93 (6.23)	11.01 (9.62)
FRAT; scored $\geq 3$	33 (5)	37 (7)	2 (3)
Reported fall(s) in the 12 months before the intervention	153 (22)	141 (27)	15 (23)
Short FES-I; mean score	8.65 (3.43)	9.73 (4.08)	8.48 (2.51)
CONFbal; mean score	11.90 (3.16)	13.51 (4.60)	12.00 (3.38)

### Intervention and Follow Up

322 falls were reported during the 24 week intervention period, 351 in the first post-intervention year and 256 in the second year. The number of falls, and the number of falls that were injurious, by group for each time point are displayed in Table 3, along with the corresponding number of person years. Person years take into account attrition and missing data, therefore also time at risk. Person time at risk was similar between groups at all time points.

**Table 3, Falls Incident Rates & Rate Ratios**

	FaME	OEP	Usual care
Randomised, minus protocol violators (n)	377	404	454
<b><i>During the intervention</i></b>			
Number of fallers	50	56	66
Number of falls	96	108	118
Person years*	118	130	134
Falls per person year	0.81	0.83	0.88
Falls Rate Ratio (95% CI) (compared to UC)	0.91 (0.54, 1.52) p=0.72	0.93 (0.64, 1.37) p=0.72	Ref
Per protocol analysis (OEP only): Falls Rate Ratio (95% CI) (OEP 75% adherence compared to UC)	NA	0.54 (0.33, 0.89) p=0.02	Ref
Number of injurious falls	44	64	85
Injurious Falls per person year	0.37	0.49	0.63
Injurious Falls Rate Ratio (95% CI) (compared to UC)	0.55 (0.31,0.96) p=0.04	0.77 (0.50,1.20) p=0.25	Ref
<b><i>First year post intervention</i></b>			
Remaining in the trial 12 months post-intervention (n)	230	227	252
Number of fallers	59	59	76
Number of falls	100	98	153
Person years*	188	184	221
Falls per person year	0.53	0.53	0.69
Falls Rate Ratio (95% CI) (compared to UC)	0.74 (0.55, 0.99) p=0.04	0.76 (0.53, 1.09) p=0.14	Ref
Per protocol analysis (OEP only): Falls Rate Ratio (95% CI) (OEP 75% adherence compared to UC)	NA	0.60 (0.31, 1.10) p=0.10	Ref
Number of injurious falls	77	66	99
Injurious Falls per person year	0.41	0.36	0.45
Injurious Falls Rate Ratio (95% CI) (compared to UC)	1.00 (0.70,1.45) p=0.98	0.69 (0.43,1.10) p=0.12	Ref
<b><i>Combined intervention and first year post-intervention period</i></b>			
Falls per person year	0.64	0.66	0.76
Falls Rate Ratio (95% CI) (compared to UC)	0.81(0.59,1.10) p=0.18	0.86 (0.62,1.19) p=0.36	Ref

Injurious Falls per person year	0.40	0.41	0.52
Injurious Falls Rate Ratio (95% CI) (compared to UC)	0.73 (0.54,0.99) p=0.05	0.74 (0.50,1.10) p=0.13	Ref
<b><i>Second year post intervention</i></b>			
Remaining in the trial 24 months post-intervention (n)	202	201	240
Number of fallers	41	44	55
Number of falls	71	89	96
Person years*	169	168	210
Falls per person year	0.42	0.53	0.46
Falls Rate Ratio (95% CI) (compared to UC)	0.94 (0.62,1.41) p=0.76	1.04 (0.69,1.55) p=0.86	Ref
Falls per person year (FaME only)	<150 mins MVPA 0.59 ≥150 mins MVPA 0.30	NA	NA
Within-group analysis (FaME only): Falls Rate Ratio (95% CI) (<150 mins MVPA compared to ≥150 mins MVPA)	0.49 (0.30, 0.79) p=0.004	NA	NA
Number of injurious falls	49	68	52
Injurious Falls per person year	0.29	0.40	0.25
Injurious Falls Rate Ratio (95% CI) (compared to UC)	1.44 (0.78,2.64) p=0.24	1.50 (0.89,2.53) p=0.13	Ref

\* Person years reflect attrition, missing data and time at risk  
All p-values & confidence intervals were generated from negative binomial modelling.

The 322 falls during the intervention period were reported by 172 fallers; 50 (13%), 56 (14%) and 66 (15%) fallers in FaME, OEP and UC respectively. The average number of falls per person was 0.25 in the FaME group, 0.27 in OEP and 0.26 in UC. There was no difference between the exercise interventions' falls incidence rate and UC during the intervention (Table 3).

The 351 falls in the 12 months following the close of the interventions were reported by 194 fallers; 59 (16%), 59 (15%) and 76 (17%) fallers in FaME, OEP and UC, respectively. Average falls per person were 0.27 in the FaME group, 0.24 in OEP and 0.34 in UC. In this phase there was a 26% reduction in falls in the FaME group compared with UC (Table 3) and a non-significant 24% reduction in the OEP arm (FaME: IRR=0.74, 95% CI 0.55, 0.99, p=0.04, OEP: IRR=0.76, 95% CI 0.53, 1.09, p=0.14) (Table 3). We performed a post-hoc analysis to explore the poorer effect of the OEP intervention. When only those patients

achieving 75% or more of the OEP intervention were compared with UC, there was a 46% reduction in falls during the intervention (IRR=0.54, 95%CI 0.33, 0.89:  $p=0.02$ ) but no significant difference was found in falls incidence in the 12 months following the close of the intervention (IRR=0.60, 95%CI 0.31, 1.10:  $p=0.10$ ) (Table 3). Interestingly, the likelihood of compliance with the OEP intervention (achieving  $\geq 75\%$ ) was similar for those with, versus those without, a peer mentor (39% versus 35%).

Sensitivity analysis where one fall over each period was assumed for those with missing falls information showed similar IRRs for the effect of FaME and OEP compared with UC. However, in the first year post-intervention, the reduction in falls in the OEP group became statistically significant (IRR=0.74, 95% CI 0.60, 0.91,  $p=0.005$ ).

In the second year following the discontinuation of interventions, the effect of the interventions on falls rate was lost (FaME: IRR=0.94, 95% CI 0.62, 1.41,  $p=0.76$ , OEP: IRR=1.04, 95% CI 0.69, 1.55,  $p=0.86$ ). Given that there was a statistically significant reduction in falls during the year following the end of the FaME intervention, followed by a loss of this effect in the second year, a post-hoc analysis of this group was carried out to further investigate the second year. We found that when those in the FaME group who continued to achieve 150 minutes of MVPA per week into the second post-intervention year were compared to those in the FaME group who did not maintain their physical activity, there continued to be a significant reduction in falls incidence (IRR=0.49, 95% CI 0.30, 0.79;  $p=0.004$ ) (Table 3).

### **Injurious falls**

Injurious falls during the intervention totalled 64 in the OEP group, 44 in the FaME group and 85 in UC, as reported by patients. Negative binomial modelling revealed significantly fewer injuries in the FaME group compared with UC during the 24 week intervention (Table 3) and in the combined intervention period and first year post-intervention (Table 3). In the second year following the close of interventions, the effect of FaME on injurious falls rate was lost (Table 3). The difference in number of injuries in the OEP group compared with UC was not significant at any time-point, but there was a non-significant reduction (IRR 0.74, 95% CI 0.50, 1.10;  $p=0.13$ ) in the combined intervention period and first year post-intervention. The injurious falls rate was lower in all groups in the second



year post intervention than in the intervention period, with the greatest reduction in the usual care group.

### **Other Falls-related Outcome measures**

Functional assessments (TUG, Functional Reach) were measured at baseline and at the end of the intervention period. FES-I and CONFbal were measured at baseline, at the end of the interventions and at all subsequent follow-up points. As we have previously reported, there were no statistically significant changes in any of these measures at 12 months post intervention, with the exception of CONFbal, which was significantly improved in both intervention arms compared with UC (Iliffe 2014, <http://www.ncbi.nlm.nih.gov/pubmed/25098959>, pages 47 to 53). There were no significant changes in any of these measures at 24 months post intervention.

### **Discussion**

FaME is effective at reducing falls and fall-related injuries in older people recruited through general practices, and the effect continues for a year after cessation of the intervention (Intention to Treat). The positive effects on falls reduction are no longer present at 24 months after the intervention, suggesting that strength and balance exercise may need to be continued to maintain the benefit. However, those in the FaME group who maintained their MVPA, continued to benefit from a reduction on falls some two years post intervention. OEP supported by Peer Mentors did not appear to be effective at reducing falls in the general, older population. As well as this, only 37% of the OEP group achieved 75% or more of the intervention. However, falls were not significantly reduced following the OEP intervention even in those who were compliant. Compliance within the OEP arm did not appear to be associated with having a Peer Mentor. It may be that there was insufficient progression of intensity of the OEP exercises to affect falls rate. Indeed, only 20% of those in the OEP group received heavier ankle weights or progressed on to unsupported balance exercises.

Negative binomial modelling revealed significantly fewer injuries caused by falls in the FaME group compared with UC during the 24 week intervention and in the combined intervention and first year post-intervention. Although there were fewer injuries in the OEP group, this was not significant. It appears that although the total number of falls

(injurious and non-injurious) between groups during the intervention is not significantly different, the severity of the falls (in terms of the number of injuries sustained) is lower in the FaME group, suggesting that although still falling in the intervention period, the FaME subjects are less likely to injure themselves. This might be a transitional stage towards falling less often, which occurred in the FaME group in the first post-intervention year.

There is a reduction in injurious falls in the usual care group over the whole 2.5 years of the study and this appears to be greater than the decline in injurious falls in either intervention arm. This may be a response bias as a higher percentage of falls diaries were not returned in the usual care arm (41%) compared to the OEP (35%) or FaME (37%) arms. We previously showed that ProAct65+ participants at higher risk of falls were less likely to return falls diaries than those at lower risk (Perry 2012).

We also note that falls rates were generally lower in all three arms in the second follow-up year compared with the first follow-up year. This may be due to selective drop out of those inclined to fall. Those who were lost to follow up performed more poorly on the Timed Up and Go test and Functional Reach, were more likely to have fallen in the 12 months preceding the study and were more concerned about falling (Table 2).

There is some evidence that increasing physical activity in those at high risk of falls can increase exposure to risk (Sherrington 2011), so it is heartening that, in older people recruited through general practice (not frequent fallers), the interventions did not increase risk of falls alongside the increase in MVPA. However, ProAct65+ deliberately chose two interventions that focused on improving strength and balance and therefore this may have prepared the older adults in this study for safer movement. This may have been reflected in the improvements seen in CONFbal, as their confidence to be active without falling appears improved.

It is of note that the functional outcomes did not improve despite an increase in MVPA and a decrease in falls. There was room for improvement in these tests (compared to normal data) but it is possible that other tests, such as more dynamic balance tests, compensatory stepping ability, reaction time or other components of fitness that were not tested, may have shown some improvement. There was also no change in FES-I, de-

spite a recent review suggesting that strength and balance exercise reduces fear of falling (Kendrick 2014). However, only a very small percentage of people in this population admitted concern about falling.

### **Strengths & limitations of the study**

Less than 10% of the eligible population participated in the trial, and attrition was relatively high (Iliffe 2014) so our findings cannot be generalised to a wider population. Nevertheless the study did recruit a group who wanted to increase their physical activity levels, and so may represent those who would take up such an exercise programme if it were available to them.

Almost a quarter of participants reported one or two falls in the previous year. Given the exclusion of frequent fallers, and that exercise trials tend to attract fitter, healthier individuals, it was notable that this percentage of fallers was recruited. Fallers were less likely to return diaries than non-fallers. However, return rates of diaries were similar among study arms, therefore the reduction in falls and injuries in the FaME group is not likely to be attributable to under-reporting.

The 2005 FaME trial reported that 79% of subjects attended more than 75% of classes (Skelton 2005). By comparison, adherence was poorer in the ProAct65+ FaME group with only 40% (n=150) attending 75% (or more) of classes. The original trial recruited frequent fallers who may have been more motivated to attend falls prevention exercise sessions. The findings from the per protocol analysis (comparing falls incidence rates between only those in the intervention arms who adhered to at least 75% of the exercise programme with UC) should be interpreted with caution because the participants in both intervention groups were a select sub-sample, probably highly motivated, and therefore not representative of the complete trial population.

### **Comparison with other studies**

These findings add to the previous findings (Skelton 2005) that showed a significant reduction in falls rate in community-dwelling, female, frequent fallers. However, the 'during intervention' response to increased exposure to falls risk (caused by the exercise intervention itself) in ProAct65+ differs to that displayed by the original FaME subjects (Skelton 2005) whose falls rate increased (non-significantly) in the early phase of the

exercise programme. The difference is likely explained by the characteristics of the two recruited populations; the frequent fallers recruited by Skelton and colleagues initially fell more frequently, perhaps as their confidence rose before their abilities could match their confidence, but the general older population's falls rate was unaffected in this study, suggesting that exposure to falls risk did not exceed their physical abilities, even in the early weeks of the intervention.

Furthermore, a dose of at least 50 hours has been identified as instrumental in achieving a reduction in falls (Sherrington 2011). ProAct65+ utilised shorter interventions and adherence was poorer than expected, meaning that many participants' dose fell considerably short of 50 hours. However, our per protocol analysis, showed that falls incidence in patients achieving at least 75% of the OEP intervention dose was not reduced compared with the control, suggesting that poor adherence was not the explanatory factor for the ineffectiveness of this intervention. It may be that a more challenging intervention is needed in the low falls risk population. Poor compliance in the OEP arm may have been attributable to the lack of peer mentors. The peer mentor shortfall meant that only 33% of OEP subjects received the planned support (telephone calls and visits) and this may have affected motivation and therefore compliance. However, many falls services that deliver the OEP do not support the participant to progress their exercises when they are given a home exercise booklet, most do not encourage the use of heavier ankle weights for strength progression and if they do support adherence, it is often for less than 12 weeks (RCP 2012), so the lack of support seen in ProAct65+ is a reflection of what happens in many services.

In the FaME group, however, falls rate was reduced despite a dose of lower than 50 hours (not including walking) which may have been due to the strict progression of intensity of strength and balance exercises within the group sessions.

### **Implications for practice and research**

One possible clinical interpretation of the FaME group dose finding is that exercise services could offer the shorter, more challenging and more rapidly progressive FaME programme (as used in ProAct65+ trial) if they are working with the general older adult population, rather than selected, frailer individuals who have a history of falls.

The Royal College of Physicians audit on exercise provision in falls services (2012) and the Age UK Expert Series Falls Prevention Guide (2013) report that most regions in the UK have falls exercise services, but few stick to evidence-based guidelines, including the provision of exercise programmes of adequate length to achieve outcomes. The shorter, more rapidly progressive FaME programme used in this trial appears to be safe and effective for the general older adult population, thus implementation (for appropriate participants) may reduce pressure on resources and associated implementation costs. The role of FaME in primary prevention of the first injurious fall and its potential to reduce the future burden on services working with those at high risk of falls should be considered. Six months of FaME increases MVPA and reduces falls even a year after the intervention has ceased, potentially contributing to better frailty risk outcomes. Indeed, some UK exercise services offer 'graduation' exercise classes (adhering to the FaME model) in order to help prevent the readmission of older adults after detraining and further falls (Age UK Expert Series 2013). In those who maintain their increased MVPA, FaME continues to reduce the risk of falls. Services should focus more on motivating their participants to adhere to interventions and when safe, encourage an increase in physical activity to maintain benefits.

Recruiting peer mentors was successful in London but not in Nottingham, suggesting geographical differences in availability and motivation of older adults to be involved as motivators in physical activity promotion. In ProAct65+ the peer mentors were responsible (after trial training) to advise participants on exercise progression over the intervention period, thus without a peer mentor, even the most self-motivated participants most likely performed a non-progressive exercise regime. The effectiveness of the OEP intervention in those participants who were assigned a peer mentor versus those without a mentor needs further investigation. However, ProAct65+ suggests that providing general, older adults with OEP as a home exercise programme, without adequate support, motivation and progression, is ineffective at reducing falls.

## Conclusions

The FaME intervention appears to offer a year's 'immunisation' against falls beyond the end of the 24 weeks but the effect was lost during the second post intervention year. This suggests that longer-term falls prevention may require additional, future rein-

forcement. Per protocol analysis suggests that beyond the initial intervention of targeted, evidence-based exercise, the key to preventing future falls may well be a commitment to maintaining moderate intensity physical activity of any type.

**Acknowledgements:** We thank all those who participated in this study, including the research team, practice staff, postural stability instructors and peer mentors, and members of the trial steering committee.

**Funding:** The project described in this paper was funded by the HTA stream of the NIHR [06/36/04]. The views expressed in this paper are those of the authors, not of the Department of health or the NIHR

**Contributors:** All authors made substantial contributions to the conception or design of the ProAct65+ trial and to the acquisition, analysis, or interpretation of data for the study. All have contributed to drafting this report and revising it critically for important intellectual content. All authors have given their final approval of the version to be published. All authors agree to be accountable for all aspects of the trial report in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

The authors are independent of the funders and sponsors of this trial, and have access to all the data. Professor Steve Iliffe is the guarantor and affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

**Declarations of Interest:** DAS and SDY are Directors of Later Life Training, a not for profit company that has provided specialist training for health and exercise professionals in FaME (since 2003) and OEP (since 2006).

## References

Age UK Expert Series Falls Prevention Guide, 2013; [http://www.ageuk.org.uk/Documents/EN-GB/For-professionals/Research/Falls\\_Prevention\\_Guide\\_2013.pdf?dtrk=true](http://www.ageuk.org.uk/Documents/EN-GB/For-professionals/Research/Falls_Prevention_Guide_2013.pdf?dtrk=true) (Accessed 22nd June 2016)

American Geriatrics Society (AGS)/British Geriatrics Society (BGS) Clinical Practice Guideline: Prevention of Falls in Older Persons, 2010; <http://geriatricscareonline.org/toc/updated-american-geriatrics-societybritish-geriatrics-society-clinical-practice-guide-line-for-prevention-of-falls-in-older-persons-and-recommendations/CL014/> (Accessed 22nd June 2016)

British Geriatrics Society (BGS), Fit for Frailty; Consensus best practice guidance for the care of older people living with frailty in community and outpatient settings, 2014; [http://www.bgs.org.uk/campaigns/fff/fff\\_full.pdf](http://www.bgs.org.uk/campaigns/fff/fff_full.pdf) (Accessed 22nd June 2016)

Campbell AJ, Robertson MC, Gardener MM, Norton RN, Tilyard MW, Buchner DM. Randomised control trial of a general practice programme of home based exercise to prevent falls in elderly women. *BMJ* 1997;315:1065-9.

Campbell AJ, Robertson MC, Gardener MM, Norton RN, Buchner DM. Psychotropic medication withdrawal and a home based exercise programme to prevent falls: a randomised controlled trial. *J Am Geriatr Soc* 1999;47:850-3.

Cummings SR, Nevitt MC, Kidd S. Forgetting falls. The limited accuracy of recall of falls in the elderly. *J Am Geriatrics Soc* 1988;36:613-6.

Delbaere K, Close JCT, Mikolaizak AS, Sachdev PS, Brodaty H, Lord SR. The falls efficacy scale international (FES-I). A comprehensive longitudinal validation study. *Age Ageing* 2010;39:210-6.

Department of Health (DoH), 2009. [http://webarchive.nationalarchives.gov.uk/20130107105354/http://www.dh.gov.uk/prod\\_consum\\_dh/groups/dh\\_digitalassets/@dh/@en/@pg/documents/digitalasset/dh\\_103151.pdf](http://webarchive.nationalarchives.gov.uk/20130107105354/http://www.dh.gov.uk/prod_consum_dh/groups/dh_digitalassets/@dh/@en/@pg/documents/digitalasset/dh_103151.pdf) (Accessed 22nd June 2016)

Department of Health (DoH). Start Active, Stay Active. A report on physical activity for health from the four home countries' Chief Medical Officers. London: DH; 2011. [http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH\\_128209](http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_128209) (Accessed 22nd June 2016)

Duncan PW, Weiner DK, Chandler J, Studenski S: Functional reach: a new clinical measure of balance. *J Gerontol* 1990;45(6):M192-7.

Duncan PW, Studenski S, Chandler J, Prescott B: Functional reach: predictive validity in a sample of elderly male veterans. *J Gerontol* 1992;47(3):M93-8.

Gillespie LD, Robertson M, Gillespie WJ, Sherrington C, Gates S, Clemson LM, Lamb SE. Interventions for preventing falls in older people living in the community. *Cochrane Database of Syst Rev* 2012;Issue 9. Art. No.: CD007146.

Health Survey for England (HSE) 2012. Volume 1: Chapter 2 - Physical activity in adults. Health and Social Care Information Centre: Leeds. <http://www.hscic.gov.uk/catalogue/PUB13218/HSE2012-Ch2-Phys-act-adults.pdf> (Accessed 22nd June 2016)

Iliffe S, Kendrick D, Morris R, Skelton DA, Gage H, Dinan S, Stevens Z, Pearl M, Masud T. Multi-centre cluster randomised trial comparing a community group exercise programme with home based exercise with usual care for people aged 65 and over in primary care: protocol of the ProAct65+ trial. *Trials* 2010;11:6-10.

Iliffe S, Kendrick D, Morris R, Masud T, Gage H, Skelton DA, Dinan S, Bowling A, Griffin M, Haworth D, Swanwick G, Carpenter H, Kumar A, Stevens Z, Gawler S, Barlow C, Cook J, Belcher B. Multi-centre cluster randomised trial comparing a community group exercise programme with home based exercise with usual care for people aged 65 and over in primary care *Health Technology Assessment* 2014;18:49.

Kempen GI, Yardley L, van Haastregt JC, Zijlstra GA, Beyer N, Hauer K, Todd C. The Short FES-I: a shortened version of the falls efficacy scale-international to assess fear of falling. *Age Ageing* 2008;37(1):45-50.

Kendrick D, Kumar A, Carpenter H, Zijlstra GAR, Skelton DA, Cook JR, Stevens Z, Belcher CM, Haworth D, Gawler SJ, Gage H, Masud T, Bowling A, Pearl M, Morris RW, Iliffe S, Delbaere K. Exercise for reducing fear of falling in older people living in the community (Review). *Cochrane Database of Syst Rev* 2014; doi:10.1002/14651858.CD009848.pub2

Nandy S, Parsons S, Cryer C, Underwood M, Rashbrook E, Carter Y, Eldridge S, Close J, Skelton D, Taylor S, Feder G, on behalf of the falls prevention pilot steering group: Development and preliminary examination of the predictive validity of the Falls Risk Assessment Tool (FRAT) for use in primary care. *J Publ Health* 2004;26(2):138-43.

NICE Clinical Guideline 161. Falls: assessment and prevention of falls in older people 2013, <http://www.nice.org.uk/guidance/cg161> (Accessed 22nd June 2016)

Perry L, Kendrick D, Morris R, Dinan S, Masud T, Skelton DA, Iliffe, S. Completion and return of fall diaries varies with participants' level of education, first language, and baseline fall risk. *J Gerontol A Biol Sci Med Sci* 2012;67(2):210-4.

Podsiadlo DA, Richardson S. The Timed Up and Go: A test of basic functional mobility for frail elder persons. *J Am Geriatr Soc* 1991;39:142-8.

Robertson MC, Campbell AJ, Herbison P. Statistical analysis of efficacy in falls prevention trials. *J Gerontol Med Sci* 2005; 60A:530-4.

Robertson MC, Devlin N, Gardener MM, Campbell AJ. Effectiveness and economic evaluation of a nurse delivered home exercise programme to prevent falls. 1: Randomised controlled trial. *BMJ* 2001a;322:697-701.

Robertson MC, Gardener MM, Devlin N, McGee R, Campbell AJ. Effectiveness and economic evaluation of a nurse delivered home exercise programme to prevent falls. 2: Controlled trial in multiple centres. *BMJ* 2001b;322:701-4.



Rose DJ, Jones CJ, Lucchese N. Predicting the probability of falls in community-residing older adults using the 8-foot up-and-go: a new measure of functional mobility. *J Aging Phys Act* 2002;10,466-75.

RCP (Royal College of Physicians) 2012. Older people's experiences of therapeutic exercise as part of a falls prevention service - patient and public involvement. London: RCP.

Scuffham P, Chaplin S, Legood R. Incidence and costs of unintentional falls in older people in the United Kingdom. *J Epidemiol Community Health* 2003;57:740-4.

Sherrington C, Whitney JC, Lord SR, Herbert RD, Cumming RG, Close JC. Effective exercise for the prevention of falls: a systematic review and meta-analysis. *J Am Geriatr Soc* 2008;56(12):2234-43.

Sherrington C, Tiedemann A, Fairhall N, Close JC, Lord SR. Exercise to prevent falls in older adults: an updated meta-analysis and best practice recommendations. *NSW Public Health Bulletin* 2011;22(4):78-83.

Shumway-Cook A, Brauer S, Woollacott M. Predicting the probability for falls in community-dwelling older adults using the Timed Up and Go Test. *Phys Ther* 2000;80(9):896-903.

Simpson JM, Worsfold C, Hawke J. Balance confidence in elderly people. The CONFbal Scale (abstract 123). *Age Ageing* 1998;27(Suppl 2):57.

Simpson JM, Worsfold C, Fisher KD, Valentine JD. The CONFbal scale: a measure of balance confidence--a key outcome of rehabilitation. *Physiother* 2009;95,103-9.

Skelton DA, Dinan SM. Exercise for falls management: rationale for an exercise programme aimed at reducing postural instability. *Physiother Theory Pract* 1999;15:105-20.

Skelton DA, Dinan SM, Campbell MG, Rutherford OM. Tailored group exercise (Falls Management Exercise - FaME) reduces falls in community-dwelling older frequent fallers (an RCT). *Age Ageing* 2005;34:636-9.

Stevens Z, Carpenter H, Gawler S, Belcher C, Haworth D, Kendrick D, Morris R, Masud T, Skelton DA, Iliffe S. Lessons learnt during a complex, multi-centre cluster randomised controlled trial: the ProAct65+ trial. *Trials* 2013;14:192.

Todd C, Skelton DA. What are the Main Risk Factors for Falls Amongst Older People and what are the Most Effective Interventions to Prevent These Falls? How should Interventions to Prevent Falls be Implemented? Denmark: World Health Organization Health Evidence Network; 2004.

Whitney JC, Lord SR, Close JC. Streamlining assessment and intervention in a falls clinic using the Timed Up and Go Test and Physiological Profile Assessments. *Age Ageing* 2005;34,567-71.

Yardley L, Beyer N, Hauer K, Kempen G, Poit-Ziegler C, Todd C. Development and initial validation of the Falls Efficacy Scale-International (FES-I). *Age Ageing* 2005;34,614-9.